



**Caution : The Information Prefilled in this Form in RED Color is for Illustration Purpose only.**

## Form ISR – 1

(-SEBI circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

### REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]



**A. I / We, request you to Register / Change / Update the following (Tick ✓ relevant box)**

**Date : 12 / 01 / 2022**

<input checked="" type="checkbox"/> PAN	<input checked="" type="checkbox"/> Signature	<input checked="" type="checkbox"/> Mobile Number
<input checked="" type="checkbox"/> Bank details	<input checked="" type="checkbox"/> Registered Address	<input checked="" type="checkbox"/> E-mail address
Kindly Quote the Serial No as printed in your KYC Form >>		[ 11443-0267790  ]

**B. Security and KYC Details [ to be filled in by the First Holder ]**

Name of the Issuer Company	ABC COMPANY LIMITED	Folio No(s)	A014223
Face value of Securities	Rs 10/-	Number of Securities	100
Distinctive number of Securities (Optional)	From 80000301	To 80000400	
E-mail Address	hira368@gmail.com		
Mobile Number	9820098200		

**C. I/We are submitting documents as per Table below (tick✓ as relevant, refer to the instructions):**

Name(s) of the Security holder(s) in Capital as per PAN	PAN	PAN Linked to Aadhaar -Y/N Tick any one [✓] *
Copies of PAN of all the Holder(s) duly self-attested with date to be enclosed with this Form.		
1. HIRACHAND K SHAH	AACPC0063S	✓Yes / No
2. MAYA H SHAH	AABPN2312S	✓Yes / No
3. NAMAN T SHAH	AAXPV3320M	✓Yes / No
4. USHA K GOENKA	AFNPO9160P	✓Yes / No

**Note:** \* PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any other date as may be specified by CBDT.

To know the status of your PAN Linked to Aadhaar check on this link: <https://www.incometax.gov.in/iec/foportal>



#### Bank Account Details of First Holder

Name of the Bank & Branch	UNION BANK OF INDIA	IFSC	UBIN9531237
Bank A/c No.	312902205063266	Tick any one [✓]- Acct type	<input checked="" type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Any other [ ]

**Note:** Original cancelled cheque leaf bearing the name of the first holder is mandatory, failing which first security holder shall submit copy of bank passbook / statement attested by the Bank for registering the Bank Account details.

Demat Account Number	16 digit DP/CL [  IN300212 - 30432688 ]
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Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.

**Authorization:** I / We authorise you (RTA) to update the above PAN and KYC details in my / our above folio(s) (use Separate Annexure if extra space is required) in which I / we are the holder(s). [ strike off what is not applicable ]

**Declaration:** All the above facts and documents enclosed are true and correct.

First Holder	Joint Holder - 1	Joint Holder - 2	Joint Holder - 3
Signature			
<b>Name</b> HIRACHAND K SHAH	<b>Name</b> MAYA H SHAH	<b>Name</b> NAMAN T SHAH	<b>Name</b> USHA K GOENKA
<b>Address</b> LODHA COSTERIA NAPEAN SEA ROAD MALABAR HILL MUMBAI	<b>Address</b> SAME AS ADDRESS OF FIRST HOLDER	<b>Address</b> GRAND PARADI APARTMENT-C NAPEAN SEA ROAD MALABAR HILL MUMBAI	<b>Address</b> SAME AS ADDRESS OF FIRST HOLDER
<b>PIN</b> 400006		400006	

**Note:** If the address mentioned above differs from the address registered with the Company, you are requested to record the new address by submitting the documents as specified in point (3) overleaf.

I/We are submitting documents as per Table below (tick✓ as relevant, refer to the instructions):



No.	✓	Document/Information/Details	Instruction/Remark
1	<input checked="" type="checkbox"/>	PAN of (all) the (joint) holder(s)	PAN copies of all the holder(s) duly self-attested with date to be enclosed. PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any date as may be specified by the CBDT. For Exemptions / Clarifications on PAN, please refer to Objection Memo as specified in SEBI circular.
2	<input checked="" type="checkbox"/>	Demat Account Number	Provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.
3		Proof of Address of the first Holder	<p>Provide self attested copy of any ONE of the documents, issued by a Govt. Authority, only if there is change in the address;</p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Client Master List (<b>CML</b>) of your Demat Account, provided by the Depository Participant.</li> <li><input checked="" type="checkbox"/> Valid Passport/ Registered Lease or Sale Agreement of Residence/ Driving License/Flat Maintenance Bill*</li> <li><input type="checkbox"/> Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.</li> <li><input type="checkbox"/> Identity card (with Photo) / document with address, issued by Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions.</li> <li><input type="checkbox"/> For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.</li> <li><input type="checkbox"/> The proof of address in the name of the spouse*</li> </ul> <p>* Kindly provide additional self-attested copy of Identity Proof of the holder/ claimant.</p>
4	<input checked="" type="checkbox"/>	Bank details	Provide the latest copy of the bank statement with details of bank name, branch, account number and IFSC or Original cancelled cheque leaf bearing the name of first holder. Alternatively, Bank details available in the CML as enclosed will be updated in the folio.
5	<input checked="" type="checkbox"/>	E-mail address	As mentioned on Form ISR-1, alternatively the E-mail address available in the CML as enclosed will be updated in the folio.
6	<input checked="" type="checkbox"/>	Mobile	As mentioned on Form ISR-1, alternatively the mobile number available in the CML as enclosed will be updated in the folio.
7	<input checked="" type="checkbox"/>	Specimen Signature	Provide banker's attestation of the signature of the holder(s) as per Form ISR – 2 and Original cancelled cheque leaf bearing the name of the first holder.
8		Nomination	<p><b>Submit Form(s) as per any ONE of the following options.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> <b>SH-13</b> For First Time Nomination</li> <li><input type="checkbox"/> <b>SH-14</b> For Cancellation or Variation of Nomination</li> <li><input type="checkbox"/> <b><u>SH-14 and ISR-3</u></b> For Cancellation of Nomination and to "Opt-Out"</li> <li><input type="checkbox"/> <b>ISR-3 To "OPT-Out"</b> of Nomination or if No-Nomination is required</li> </ul>

**Note:** All the above forms are also available on our website..